

New Entrant Motor Carrier Safety Audit Program

Presenters

- NCSHP NEA Coordinator, Walter Miller
- NCSHP Sgt. Travis L. Ingold

New Entrant Safety Audit Program

Safety Audits are a one-time review of an interstate carrier's compliance with Federal Motor Carrier Safety Regulations.

Why the NEA Program was created?

- The New Entrant Safety Audit Program was created by FMCSA in 2003 to inform carriers of FMCSR's and their requirements
- 385.3 states: Safety Audit is an examination of a motor carrier's operations to provide educational and technical assistance on safety and the operational requirements of the FMCSRs and applicable HMRs and to gather critical safety data needed to make an assessment of the carrier's safety performance and basic safety management controls. Safety audits do not result in safety ratings.

385.305T After the FMCSA receives request for new entrant registration

§ 385.305T What happens after the FMCSA receives a request for new entrant registration?

- (a) The requester for new entrant registration will be directed to the FMCSA Internet Website (www.fmcsa.dot.gov) to secure and/or complete the application package online.
- (b) The application package will contain the following:
 - (1) Educational and technical assistance material regarding the requirements of the FMCSRs and HMRs, if applicable.
 - (2) The Form MCS-150, The Motor Carrier Identification Report.
 - (3) Application forms to obtain operating authority under 49 CFR part 365, as appropriate.
- (c) Upon completion of the application forms, the new entrant will be issued a USDOT number.
- (d) For-hire motor carriers, unless providing transportation exempt from 49 CFR part 365 registration requirements, must also comply with the procedures established in 49 CFR part 365 to obtain operating authority before operating in interstate commerce.

When a USDOT # is obtained

The screenshot shows a web browser window displaying the FMCSA New Entrant Audit List. The URL is <https://ai.fmcsa.dot.gov/NewEntrant/SA/unassigned.aspx>. The page features a navigation menu on the left with options like Summary, Carrier Search, Unassigned Carriers, Assigned Audits, and My Audits. The main content area is titled "List of Unassigned Carriers" and contains a table with columns for DOT #, Carrier, OIC State, Audit Type, Audit Type ID, Operation Type, and NE Status. The table lists 18 carriers, all with "Currently In New Entrant" status. The status "Currently In New Entrant" indicates that the carrier has been placed on the audit list after obtaining a USDOT number.

DOT #	Carrier	OIC State	Audit Type	Audit Type ID	Operation Type	NE Status
1120201	Tide Tamer Industries	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1181850	E L Johnson Trucking	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1261301	Anderson & Sons Trucking	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1300777	Stallings Farms	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1324290	V T Trucking	NC	Onsite	3	Interstate	Currently In New Entrant
1327903	S & S Transport Llc	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1407713	Kivi Trucking Inc	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1412223	M & O Express	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1418247	Tnt Express Freight	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1571166	Jmq Driveaway Llc	NC	Onsite	3	Interstate	Currently In New Entrant
1587852	Ci Auto Sales	NC	Onsite	3	Interstate	Currently In New Entrant
1589962	J A Bailey Trucking	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1597087	Emb Transport	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1696196	Performance Transport Inc	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1765957	Charlie Wills	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
1831191	Broadus Baptist Church Inc	NC	Onsite	3	Interstate	Currently In New Entrant

The Carrier is placed on the New Entrant Audit List

Carrier is assigned to an auditor

After the carrier is assigned to an auditor, usually 5 to 6 months after getting a USDOT number

This time period gives a carrier time to begin operations.

Off-site or On-site Audits

New Entrant Audits are either off-site
(carrier sends in requested documents)

or

on-site (auditor visits the carrier's
location)

ON – SITE AUDITS

If a Carrier is:

- 1) A passenger carrier**
- 2) A household goods hauler**
- 3) Carries hazardous materials that require a placard.**
- 4) Is subject to expedited action – roadside inspection violations or collision**
- 5) Has reinstated a USDOT number after being placed out of service.**

Audit completion

Audits will be completed within 12 months of the date the USDOT number was issued by FMCSA

Passenger and Expedited Action Audits

FMCSA requires that Passenger Carriers' and Expedited Action Carriers' audits will be completed within 4 months after a USDOT number was issued or after the expedited action violation. These audits are assigned sooner than a standard audit.

Consequence of not complying with an audit

Failure to respond to document requests or requests to make an audit appointment can result in a 30-day out-of-service order.

Contact Information

NCSHP Field Operations, Motor Carrier
Enforcement Section,
New Entrant Auditor Coordinator

- Walter Miller (919)-715-8683

NCSHP Field Operations, Motor Carrier
Enforcement Section,

- Sgt. Travis L. Ingold (919)-715-8683

Questions???